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JOAN M. GILMER
CIRCUIT CLERK, ST. LOUIS COUNTY

**CIRCUIT COURT OF ST. LOUIS COUNTY
STATE OF MISSOURI**

**NEUTRAL QUALIFICATION FORM
Pursuant to Local Rule 38**

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

1. Name: HERRON James William
(Last) (First) (Middle)

2. Address: Lewis, Rice & Fingersh, L.C.
500 N. Broadway, Suite 2000
St. Louis, Missouri 63102-2147
(City) (State) (ZIP+4)

3. Telephone Number: 314-444-7600

4. Facsimile Number: 314-612-1354

As a neutral through USA&M
(plus \$200/party
administrative fee)

5. State the standard hourly fee for your services as a neutral.

\$225.00 per hour

6. List your appropriate training or equivalent experience in conducting alternative dispute resolution as required for certification under Supreme Court Rule 17.04.

Mediation for Civil Cases, MU Law Center for CLE, Columbia, MO Aug 16-18, 2000 20.0 h

Basic Mediation Training, USA&M, St. Louis, MO March 23, 2001 18.3 hours

7. Please list all areas of substantive law or experience which are or have been a significant part of your professional background or practice.

Corporate and transactional work has included issues surrounding bad faith,

buy-outs, defective and/or equipment failure. Commercial and tort litigation

including; breach of contract, minority shareholder's rights, corporate dissolutions,

8. [If the answer to any of the following questions is yes, please explain the circumstances on a separate sheet and attach.]

- a. Have you ever been disciplined for violation of any code of professional ethics or responsibility? No
- b. Have you ever pled guilty to or been found guilty of a felony? No
- c. Have you ever been found liable for fraud or any other intentional tort? No
- d. Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No
- e. Have any professional malpractice judgments been entered against you? No

9. List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider.

Applications are being submitted to: U.S. Dist. Ct., E. D. Mo.

Circuit Court, St. Louis City

Circuit Court, St. Louis County

10. In the space below, briefly describe your experience, qualifications, special areas of expertise, and any other reasons why you should be selected as a neutral.

I have a wide range of experience in the practice of law, as more specifically described in answer to Question No. 7. During the thirty four years I have been in the practice, I have met and become acquainted with a large number of varied personalities. It is my belief that none of those encounters has resulted in ill will by or toward me and I believe that I enjoy a reputation of dealing fairly and candidly with opposing counsel.

(Signature)

(Date)

THIS FORM WILL BE MADE AVAILABLE TO THE PARTIES TO ASSIST THEM IN CHOOSING A NEUTRAL.

7. enforcement of obligations, lost revenue and business interruption, fraud claims, insurance coverage issues, design and construction issues, finders fees, real estate commissions, securities law, commodity trading, cargo damages, valuation of business interests, bankruptcy, product liability, premises liability, FELA, medical malpractice, Jones Act and Maritime injury claims, claims for damages to towboats and ocean going vessels, environmental claims for personal injury and/or property damage, rights of heirs and beneficiaries.