



**CIRCUIT COURT OF ST. LOUIS COUNTY  
STATE OF MISSOURI**

**NEUTRAL QUALIFICATION FORM  
Pursuant to Local Rule 38**

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

1. Name: Stribling G. Carroll  

(Last)
(First)
(Middle)

Husch & Eppenberger, LLC
2. Address: 231 S. Bemiston, 8th Floor  
Clayton, MO 63105  

(City)
(State)
(ZIP+4)
3. Telephone Number: (314) 727-5822
4. Facsimile Number: (314) 727-2824
5. State the standard hourly fee for your services as a neutral. \$225.00 per hour As a neutral through USA&I (plus \$200.00 / party administrative fee)
6. List your appropriate training or equivalent experience in conducting alternative dispute resolution as required for certification under Supreme Court Rule 17.04.  
See attached Summary Resume.  


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7. Please list all areas of substantive law or experience which are or have been a significant part of your professional background or practice.  
See attached Summary Resume.  


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8. [If the answer to any of the following questions is yes, please explain the circumstances on a separate sheet and attach.]
- a. Have you ever been disciplined for violation of any code of professional ethics or responsibility? No.
  - b. Have you ever pled guilty to or been found guilty of a felony? No.
  - c. Have you ever been found liable for fraud or any other intentional tort? No.
  - d. Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No.
  - e. Have any professional malpractice judgments been entered against you? No.
9. List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider.  
See attached summary resume.  
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\_\_\_\_\_  
\_\_\_\_\_
10. In the space below, briefly describe your experience, qualifications, special areas of expertise, and any other reasons why you should be selected as a neutral.

See attached Summary Resume.

  
(Signature)

March 5 2001  
(Date)

THIS FORM WILL BE MADE AVAILABLE TO THE PARTIES TO ASSIST THEM IN CHOOSING A NEUTRAL.

**G. CARROLL STRIBLING, JR.**

**SUMMARY RESUME**

**NAME, ADDRESS, ETC:**

G. Carroll Stribling, Jr.  
Husch & Eppenger, LLC  
231 S. Berniston Avenue, 8<sup>th</sup> Floor  
Clayton, MO 63105  
Phone: 1-314-727-5822 Fax: 1-314-727-2824

Age: 59

**PROFESSIONAL EMPLOYMENT:**

Fordyce & Mayne, P.C.  
1967-1973 Associate  
1973-1989 Partner/Principal

Ziercher & Hocker, P.C.  
1989-Date Principal

Husch & Eppenger, LLC  
1/1/2001 to present

**LITIGATION EXPERIENCE:**

I am a business litigator. I have extensive experience in litigation, mediation and arbitration involving complex contractual disputes, federal and state securities laws, employment matters, business torts and construction cases. I have specialized experience in employment restriction cases, dealer/distributor cases, FCRA cases and commercial fraud cases. I regularly litigate in the state and federal trial and appellate courts in Missouri and various other federal districts. In 1992 and 1993 I was twice nominated as a finalist candidate for selection as a United States Magistrate by the merit selection panel in St. Louis.

**BAR MEMBERSHIPS:**

I am a member of the bar of the following Courts:

Missouri Bar  
U.S.D.C. - Eastern District of Missouri  
Southern District of Illinois  
Western District of Kentucky  
U.S.C.A.- Eighth Circuit  
Sixth Circuit  
U.S. Tax Court  
U.S. Supreme Court

**MEDIATION TRAINING AND EXPERIENCE:**

I am a member of the following mediation panels:

United States Arbitration & Mediation Midwest, Inc.

U.S.D.C. - E.D.Mo. Mediation and Early Neutral Evaluation Panel -  
1994 to present.

Missouri Bar - Rule 17 List of Dispute Resolution Neutrals -  
1995 to present.

Circuit Courts - 21st and 22nd Judicial Circuits - Dispute Resolution  
Neutrals Panel - 1996 to present.

Academy of Attorney-Mediators - 1995 to present.

National Arbitration Forum - 1997 to present.

I have received the following training:

Training for Neutrals in Alternative Dispute Resolution - University of Missouri/U.S.D.C. E.D.Mo. - 1994.

Academy Bridge Course - Mediator Training – Association of Attorney-Mediators - 1995.

American Arbitration Association - Advanced Construction Arbitrator Training - 1998.

New York Stock Exchange - various arbitrator training seminars.

National Arbitration Forum - Arbitrator Training Course – 1999.

United States Arbitration & Mediation Midwest, Inc. Basic/Advanced Training, 2001.

I have mediated in excess of 30 cases in the past four years in court and EEOC sponsored mediations. During my service as an arbitrator/mediator in the Prudential Securities case, I mediated in excess of ten cases during the 1994-1996 period. I have participated in court-sponsored and private mediations as an advocate in excess of 25 cases in the last five years.

#### **ARBITRATION EXPERIENCE:**

I am or have been a member of the following arbitration panels:

New York Stock Exchange - 1980 to present.

American Arbitration Association, Commercial & Construction Panels - 1980 to present.

National Health Lawyers Association ADR Service - 1993 to present.

National Arbitration Forum - 1997 to present.

SEC v. Prudential Securities, Inc., Expedited Dispute Resolution Proceedings - 1994 to 1996.

Over the last ten years, I have arbitrated in excess of 50 cases as a part of the AAA and NYSE panels. I arbitrated or mediated in excess of 135 contested cases in the Prudential Securities proceedings from 1994 to 1996.

8. [If the answer to any of the following questions is yes, please explain the circumstances on a separate sheet and attach.]

- a. Have you ever been disciplined for violation of any code of professional ethics or responsibility? No
- b. Have you ever pled guilty to or been found guilty of a felony? No
- c. Have you ever been found liable for fraud or any other intentional tort? No
- d. Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No
- e. Have any professional malpractice judgments been entered against you? No

9. List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider.

U.S.D.C. - E.D.Mo. Neutral's Panel

22nd. Judicial Circuit - St. Louis City

American Arbitration Association, New York Stock Exchange

EEOC Mediation Panel - Center for Employment Dispute Resolution

10. In the space below, briefly describe your experience, qualifications, special areas of expertise, and any other reasons why you should be selected as a neutral.

I have over 20 years experience as an arbitrator in business, employment and securities matters. I have acted as an arbitrator in excess of 140 cases over this period of time. In addition, I have acted as an attorney and litigator in business, employment and securities arbitrations in excess of 30 cases over this period of time. I am familiar with all aspects of business, employment and securities arbitrations.

I have been active in mediation and early neutral evaluation of business, employment and securities matters for over 10 years. I have mediated cases for the United States District Court, Eastern District in Missouri, the American Arbitration Association, the New York Stock Exchange and the Securities and Exchange Commission.

  
(Signature)

10/15/97  
(Date)



CIRCUIT COURT OF ST. LOUIS COUNTY  
STATE OF MISSOURI

NEUTRAL QUALIFICATION FORM  
Pursuant to Local Rule 38

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

1. Name: Stribling G. Carroll  
(Last) (First) (Middle)
2. Address: Ziercher & Hocker, P.C.  
231 South Bemiston, 8th Floor  
St. Louis, Missouri 63105  
(City) (State) (ZIP+4)
3. Telephone Number: 727-5822
4. Facsimile Number: 727-2824
5. State the standard hourly fee for your services as a neutral. \$140.00
6. List your appropriate training or equivalent experience in conducting alternative dispute resolution as required for certification under Supreme Court Rule 17.04.  
U.S. District Court - Neutral's Training - 1994  
American Academy of Attorney Mediators - Bridge Mediation Course - 1995  
American Arbitration Association - Construction Industry Arbitrator Training - 1997  
New York Stock Exchange - Arbitrator Training - 1992, 1997
7. Please list all areas of substantive law or experience which are or have been a significant part of your professional background or practice.  
I am a business litigator with 30 years of experience in contract, business, employment, securities, antitrust, distribution and business tort litigation.  
I have 20 years experience in business arbitrations and 10 years of experience in business mediation.

# Husch & Eppenberger, LLC

Attorneys and Counselors at Law

G. Carroll Stribling  
Direct Dial: (314) 290-9207  
E-Mail: carroll.stribling@husch.com

231 South Bemiston, 8th Floor  
Clayton, MO 63105-1914  
314.727.5822  
314.727.2824 fax

March 5, 2001

Circuit Clerk of St. Louis County  
St. Louis County Courthouse  
7900 Carondelet Avenue  
St. Louis, MO 63105

## **RE: Mediation Panels**

Dear Sir or Madam:

I have been a member of the St. Louis County Circuit Court Mediation Panel pursuant to Local 38 for a substantial period of time. I recently joined the United States Arbitration and Mediation, Midwest, Inc. panel of mediators and wish to amend my mediation form to reflect this fact.

I enclose herewith a new Neutral Application Form setting forth these facts. It seemed easier than trying to amend the one that I have on file.

If you have any questions with respect to the above, please advise.

Yours very truly,

Husch & Eppenberger, LLC

G. Carroll Stribling

GCS\kjf  
Enclosure

# Husch & Eppenger, LLC

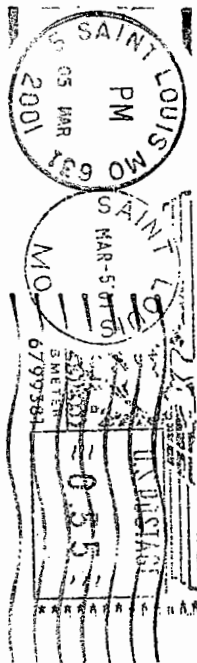
Attorneys and Counselors at Law

231 South Bemiston, 8th Floor  
Clayton, MO 63105-1914

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St. Louis County Courthouse  
7900 Carondelet Avenue  
St. Louis, MO 63105

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63105-1720 20



**RECEIVED**  
MAR 06 2001  
JOAN M. GILMER  
CIRCUIT CLERK, ST. LOUIS COUNTY