

JAN 2 7 2009

CIRCUIT COURT OF ST. LOUIS COUNTY STATE OF MISSOURI

JOAN M. GILMER CIRCUIT CLERK, ST. LOUIS COUNTY

NEUTRAL QUALIFICATION FORM Pursuant to Local Rule 38

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

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Suite 103 Florissa (City) ne Number: (314)	nt 520-3656				
Florissa (City) ne Number: (314)	520-3656				
(City)	520-3656				
ne Number: (314)		(State)		(ZIP+	4)
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e Number: (314) 7	41-8938				
on as required for o	ng or equival ertification ur	ent experience în nder Supreme Co	conducting ourt Rule 17.0	04.	
···		· · · · · · · · · · · · · · · · · · ·	*		15 Hours
		-	Novem	ber 2008	3 Hours
asic Arbitrator Training	FINRA .	Chic	ago, Illinois 3 De	ecember 2008	, 4 Hours
3	on as required for contraining for Civil Cases Unesolution Basic Arbitrator Tesolution Expungement Basic Arbitrator Training	Training for Civil Cases University of Misson esolution Basic Arbitrator Training FINRA Elescolution Expungement FINRA Elescolution Training FINRA Elescolution Expungement FINRA Elescolution Expungement FINRA Elescolution Expungement FINRA Elescolution Expungement FINRA	Training for Civil Cases University of Missouri School of Law Columbia Colu	Training for Civil Cases University of Missouri School of Law Columbia, Missouri 4- esolution Basic Arbitrator Training FINRA E Learning Course Novem esolution Expungement FINRA E Learning Course Novem easic Arbitrator Training FINRA Chicago, Illinois 3 De	esolution Expungement FINRA E Learning Course November 2008 Basic Arbitrator Training FINRA Chicago, Illinois 3 December 2008

8.		e answer to any of the following questions is yes, please explain the circumstances separate sheet and attach.]					
	a.	Have you ever been disciplined for violation of any code of professional ethics or responsibility?					
	b.	Have you ever pled guilty to or been found guilty of a felony?					
	C.	Have you ever been found liable for fraud or any other intentional tort?					
	d.	Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No					
	e.	Have any professional malpractice judgments been entered against you? No					
9,	List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider. United States District Court Eastern District of Missouri (Concurrent Application)						
		ial Industry Regulatory Authority (FINRA) FINRA Dispute Resolution Arbitrator					
	Circuit	Court of St. Louis City (Concurrent Application)					
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		1/24/09					

THIS FORM WILL BE MADE AVAILABLE TO THE PARTIES TO ASSIST THEM IN CHOOSING A NEUTRAL.

(Signature)

(Date)



Date: 12/3/2008

Arbitrator Number: A56808

BRIAN C. UNDER WOOD

Is Hereby Approved as a FINRA Dispute Resolution Arbitrator

Having Qualified through the National Arbitration and Mediation Committee

Mediation Committee Chair-National Arbitration and Philip M. Aidikoff George H. Friedman **Executive Vice President** FINRA Dispute Resolution

Certificate of Completion

November 19, 2008

Dispute Resolution Expungement

e-learning course completed by

Brian Underwood



Financial Industry Regulatory Authority

Certificate of Completion

November 3, 2008

Dispute Resolution Basic Arbitrator Training

e-learning course completed by

Brian Underwood



The Center for the Study of Dispute Resolution University of Missouri School of Law



Certificate of Attendance

MEDIATION TRAINING FOR CIVIL CASES

June 4-6, 2008 MU Law School, Columbia MO

21.7 hours MO MCLE credit, including 1.2 hour of Ethics

	_(370 minutes)	Day I - 9:00 a.m 4:30 p.m.
~	_(375 minutes)	Day II - 9:00 a.m 4:30 p.m.
~	_(340 minutes)	Day III - 9:00 a.m 3:30 p.m.
<i>`</i>	_(1085 minutes)	Total (divided by 50 minutes = hours MO MCLE credit)

Please Note: The MCLE calculation above includes instruction time only; time for introductions, breaks and lunches is not included in the MCLE calculation.

This 3 day general civil mediation training meets the training requirement to apply to be listed as a mediator of civil cases on lists maintained by The Missouri Bar, the U.S. District Court for the Eastern District of Missouri and the U.S. District Court for the Western District of Missouri.

This training does not enable you to be listed as a provider of domestic relations mediation. You will need to complete the appropriate application forms required by The Missouri Bar and by the federal courts before you can be included on their lists of approved mediators.



University of Missouri - Columbia - School of Law Center for the Study of Dispute Resolution

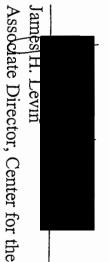
Hereby Certify That

Brian Underwood

Missouri Supreme Court Rule 17 by completing the 21.7 hour seminar has fulfilled the mediation training requirement set out in

Mediation Training for Civil Cases June 4 - 6, 2008

Paul Ladehoff
CSDR Training Coordinator



Study of Dispute Resolution